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OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION COSSIT Hours per response. . . . 3.60 Washington, D.C. 20549 Section

FORM 19b-4(e)

MAR n 2 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats BYX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See Attached. Class of New Derivative Securities Product: 3. See Attached. Name of Underlying Instrument: 4. See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See Attached. Ticker Symbol(s) of New Derivative Securities Product: 6. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached. 8. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not Applicable. 16000325 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: SVP, Associate General Counsel Telephone Number: 913-815-7154 Manual Signature of Official Responsible for Form: Date: March 1, 2016

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.
COMMENCING ON FEBRUARY 25, 2016

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
SOVB	Trust	Investment Company Units	High Yield Bonds	Global	Broad
JSML	Trust	Investment Company Units	Janus Small Cap Growth Alpha Index	U.S.	Broad
JSMD	Trust	Investment Company Units	Janus Small/Mid Cap Growth Alpha Index	U.S.	Broad



SEC
Mail Processing
Section
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March 1, 2016

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Jackson,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities product that commenced trading on Bats BYX Exchange on February 25, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154